

# Derivation of the HNC Free Energy Expression

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# Outline

- Some words about DFT
- HNC closure
- HNC Free Energy Expression
- 6D OZ expression vs RISM HNC expression

# Free energy functional

We define free energy functional which depends on **one dimensional** density  $\rho(\mathbf{r})$

However, explicit view is written for the **N-dimensional** partition function  $\rho^{(N)}(\mathbf{r})$

$$F[\rho] = \frac{1}{N!} \int d\mathbf{r}^N \rho^{(N)} \left( U(\mathbf{r}^N) + V_{\text{ext}}(\mathbf{r}^N) + kT \ln \Lambda^{3N} \rho^{(N)} \right)$$

# Why this formula is correct?

Equilibrium partition function:

$$\rho_0^{(N)}(\mathbf{r}^N) = \frac{1}{(2\pi\hbar)^{3N}} \int \frac{1}{Z_N} e^{-\beta \sum \frac{p_i^2}{2m} - \beta U_N - \beta V_{\text{ext}}} d\mathbf{p}^N = \boxed{\frac{1}{\Lambda^{3N} Z_N} e^{-\beta(U_N + V_{\text{ext}})}}$$

Putting to the functional:

$$F[\rho] = \frac{1}{N!} \int d\mathbf{r}^N \frac{e^{-\beta(U_N + V_{\text{ext}})}}{\Lambda^{3N} Z_N} \left( U_N + V_{\text{ext}} + kT \ln \frac{\Lambda^{3N} e^{-\beta(U_N + V_{\text{ext}})}}{\Lambda^{3N} Z_N} \right)$$

$$= \frac{1}{N!} \int d\mathbf{r}^N \frac{e^{-\beta(U_N + V_{\text{ext}})}}{\Lambda^{3N} Z_N} (-kT \ln Z_N) = \boxed{-kT \ln Z_N}$$

# Ideal gas in the external field

$$\frac{\rho^{(N)}(\mathbf{r}^N)}{N!} = \prod_{i=1}^N \frac{\rho(\mathbf{r}_i)}{N}$$

$$F_{ideal}[\rho] = \int d\mathbf{r}^N \prod_{i=1}^N \frac{\rho(\mathbf{r}_i)}{N} \left( \sum V_{\text{ext}}(\mathbf{r}_i) + \sum kT \ln \Lambda^3 \frac{\rho(\mathbf{r}_i)}{N} + \ln \Lambda^{3N} N! \right)$$

$$= \int d\mathbf{r}_i \rho(\mathbf{r}_i) \left( V_{\text{ext}}(\mathbf{r}_i) + kT \ln \Lambda^3 \rho(\mathbf{r}_i) - kT \ln N + kT \ln N - kT \right)$$

$$= \int \rho(\mathbf{r}) \left( V_{\text{ext}}(\mathbf{r}) + kT \left( \ln \Lambda^3 \rho(\mathbf{r}) - 1 \right) \right) d\mathbf{r}$$

Explicit dependency on  $\rho(\mathbf{r})$

# Non-ideal case

$$F[\rho] = F_{ideal}[\rho] + F_{int}[\rho]$$

$$\text{Def: } c^{(2)}(\rho, \mathbf{r}_1, \mathbf{r}_2) = -\beta \frac{\delta^2 F_{int}[\rho]}{\delta \rho(\mathbf{r}_1) \delta \rho(\mathbf{r}_2)}$$

$$F[\rho] = \int \rho \left( V_{\text{ext}} + kT \left( \ln \Lambda^3 \rho - 1 \right) \right) d\mathbf{r} - kT \int \rho(\mathbf{r}_1) \rho(\mathbf{r}_2) c^{(2)}(\mathbf{r}_1, \mathbf{r}_2) d\mathbf{r}_1 d\mathbf{r}_2$$

# Minimal principle

It can be proven, that the equilibrium density gives the minimal value of the free energy:

$$F[\rho_0] = \min_{\rho} \{ F[\rho] : \int \rho(\mathbf{r}) d\mathbf{r} = N \}$$

Using Lagrange multipliers we define

$$\Omega[\rho] \equiv F[\rho] - \mu \int \rho(\mathbf{r}) d\mathbf{r}$$

And have:  $\Omega[\rho_0] = \min_{\rho} \{ \Omega[\rho] \}$

Which yields:  $\left. \frac{\delta \Omega[\rho]}{\delta \rho} \right|_{\rho_0} = 0$

# Barometric formula

$$\left. \frac{\delta \Omega[\rho]}{\delta \rho} \right|_{\rho_0} = V_{\text{ext}}(\mathbf{r}) + \int d\mathbf{r}_0 \left( \frac{\delta \rho(\mathbf{r}_0)}{\delta \rho(\mathbf{r})} \ln \rho(\mathbf{r}) + \frac{\delta \rho(\mathbf{r}_0)}{\delta \rho(\mathbf{r})} - 1 \right) + \int \rho(\mathbf{r}_1) c^{(2)}(\mathbf{r}_1, \mathbf{r}) d\mathbf{r}_1 - \mu = 0$$

$$V_{\text{ext}}(\mathbf{r}) + kT \ln \rho(\mathbf{r}) - kT \int \rho(\mathbf{r}_1) c^{(2)}(\mathbf{r}_1, \mathbf{r}) d\mathbf{r}_1 - \mu = 0$$

$$\rho(\mathbf{r}) = e^{\beta \mu} \exp(-\beta V_{\text{ext}}(\mathbf{r}) + \int \rho(\mathbf{r}_1) c^{(2)}(\mathbf{r}_1, \mathbf{r}) d\mathbf{r}_1)$$



# Solute in infinite dilution

Consider two systems:



Uniform

$$\rho_0(\mathbf{r}) = \text{const}$$

$$V_{\text{ext}}(\mathbf{r}) = 0$$

$$c_0^{(2)}(\mathbf{r}_1, \mathbf{r}_2)$$



Solute is  
considered as the  
external field

$$\rho_1(\mathbf{r}) \neq \text{const}$$

$$V_{\text{ext}}(\mathbf{r}) = U_{\text{sol}}(\mathbf{r})$$

$$c_1^{(2)}(\mathbf{r}_1, \mathbf{r}_2)$$

Assumption:

$$c_0^{(2)}(\mathbf{r}_1, \mathbf{r}_2) \equiv c_1^{(2)}(\mathbf{r}_1, \mathbf{r}_2)$$

# HNC closure

$$\rho_0 = e^{\beta\mu} \exp\left(\int \rho_0 c^{(2)}(\mathbf{r}_1, \mathbf{r}) d\mathbf{r}_1\right)$$

$$\rho_1(\mathbf{r}) = \rho_0 + \Delta\rho(\mathbf{r})$$

$$\begin{aligned} \rho_0 + \Delta\rho(\mathbf{r}) &= e^{\beta\mu} \exp(-\beta V_{\text{ext}}(\mathbf{r}) + \int (\rho_0 + \Delta\rho(\mathbf{r})) c^{(2)}(\mathbf{r}_1, \mathbf{r}) d\mathbf{r}_1) \\ &= e^{\beta\mu} \exp\left(\int \rho_0 c^{(2)}(\mathbf{r}_1, \mathbf{r}) d\mathbf{r}_1\right) \exp(-\beta V_{\text{ext}}(\mathbf{r}) + \int \Delta\rho(\mathbf{r}) c^{(2)}(\mathbf{r}_1, \mathbf{r}) d\mathbf{r}_1) \end{aligned}$$

$$= \rho_0 \exp(-\beta V_{\text{ext}}(\mathbf{r}) + \int \Delta\rho(\mathbf{r}_1) c^{(2)}(\mathbf{r}_1, \mathbf{r}) d\mathbf{r}_1)$$

$$\frac{\Delta\rho}{\rho_0} = \exp(-\beta V_{\text{ext}}(\mathbf{r}) + \int \Delta\rho(\mathbf{r}_1) c^{(2)}(\mathbf{r}_1, \mathbf{r}) d\mathbf{r}_1) - 1$$

# HNC closure

$$\frac{\Delta\rho}{\rho_0} = \exp(-\beta U_{\text{sol}}(\mathbf{r}) + \int \Delta\rho(\mathbf{r}_1) c^{(2)}(\mathbf{r}_1, \mathbf{r}) d\mathbf{r}_1) - 1$$

$$\Delta\rho(\mathbf{r}) \equiv \rho_0 h^U(\mathbf{r}_0, \mathbf{r}) \quad \mathbf{r}_0 \text{ - Position of the solute}$$

$$\int \Delta\rho(\mathbf{r}_1) c^{(2)}(\mathbf{r}_1, \mathbf{r}) d\mathbf{r}_1 = \rho_0 \int h^U(\mathbf{r}_0, \mathbf{r}_1) c^{(2)}(\mathbf{r}_1, \mathbf{r}) d\mathbf{r}_1 \stackrel{\substack{\uparrow \\ \text{(From OZ)}}}{=} h^U(\mathbf{r}_0, \mathbf{r}) - c^U(\mathbf{r}_0, \mathbf{r})$$

$$h^U(\mathbf{r}) = \exp(-\beta V_{\text{ext}}(\mathbf{r}) + h^U(\mathbf{r}) - c^U(\mathbf{r})) - 1$$

# HNC free energy expression

$$U(\lambda) = U_N + \lambda V_{\text{ext}}$$

$$\Delta F = \int_0^1 d\lambda \left\langle \frac{\partial U(\lambda)}{\partial \lambda} \right\rangle = \rho_0 \iint g(r) V_{\text{ext}}(\mathbf{r}) d\lambda d\mathbf{r}$$

$$h^U(\mathbf{r}) + 1 = \exp(-\beta \lambda V_{\text{ext}}(\mathbf{r}) + h^U(\mathbf{r}) - c^U(\mathbf{r}))$$

$$\frac{h^U}{d\lambda} = \underbrace{\exp(-\beta \lambda V_{\text{ext}} + h^U - c^U)}_{g = h + 1} \left( -\beta V_{\text{ext}} + \frac{\partial h^U}{\partial \lambda} - \frac{\partial c^U}{\partial \lambda} \right)$$

$$g V_{\text{ext}} = kT \left( h^U \frac{\partial h^U}{\partial \lambda} - (h^U + 1) \frac{\partial c^U}{\partial \lambda} \right)$$

# HNC free energy expression

$$gV_{\text{ext}} = kT \left( \frac{\partial}{\partial \lambda} \left( \frac{h^2}{2} \right) - h^U \frac{\partial c^U}{\partial \lambda} - \frac{\partial c^U}{\partial \lambda} \right)$$

$$\Delta F = \rho_0 \int \int g(r) V_{\text{ext}}(\mathbf{r}) d\lambda d\mathbf{r} =$$

$$= kT \rho_0 \int d\mathbf{r} \left( \frac{h^2}{2} - c \right) - kT \rho_0 \int \int h^U(\lambda) \frac{\partial c^U(\lambda)}{\partial \lambda} d\mathbf{r} d\lambda$$

# HNC free energy expression

Integration by parts:

$$\iint h^U \frac{\partial c^U}{\partial \lambda} d\mathbf{r} d\lambda = \int d\mathbf{r} h^U c^U - \iint c^U \frac{\partial h^U}{\partial \lambda} d\mathbf{r} d\lambda \quad (1)$$

OZ equation:

$$h^U(\mathbf{r}_0, \mathbf{r}, \lambda) = c^U(\mathbf{r}_0, \mathbf{r}, \lambda) + \rho_0 \int c^U(\mathbf{r}_0, \mathbf{r}_2, \lambda) h^V(\mathbf{r}_2, \mathbf{r}) d\mathbf{r}_2$$

NB:  $h^V$  independent of  $\lambda$

$$\iint h^U \frac{\partial c^U}{\partial \lambda} d\mathbf{r} d\lambda = \iint c(\mathbf{r}_0, \mathbf{r}) \frac{\partial c^U(\mathbf{r})}{\partial \lambda} d\mathbf{r} d\lambda + \rho_0 \iiint c(\mathbf{r}_0, \mathbf{r}_2) h^V(\mathbf{r}_2, \mathbf{r}) \frac{\partial c^U(\mathbf{r})}{\partial \lambda} d\mathbf{r} d\mathbf{r}_2 d\lambda$$

$$\iint c^U \frac{\partial h^U}{\partial \lambda} d\mathbf{r} d\lambda = \iint c(\mathbf{r}_0, \mathbf{r}) \frac{\partial c^U(\mathbf{r})}{\partial \lambda} d\mathbf{r} d\lambda + \rho_0 \iiint c(\mathbf{r}_0, \mathbf{r}) h^V(\mathbf{r}_2, \mathbf{r}) \frac{\partial c^U(\mathbf{r}_2)}{\partial \lambda} d\mathbf{r} d\mathbf{r}_2 d\lambda$$

Expressions are the same if we change  $\mathbf{r} \leftrightarrow \mathbf{r}_2$

Then, from (1):

$$\boxed{\iint h^U \frac{\partial c^U}{\partial \lambda} d\mathbf{r} d\lambda = \frac{1}{2} \int d\mathbf{r} h^U c^U}$$

# HNC free energy expression

$$\iint h^U \frac{\partial c^U}{\partial \lambda} d\mathbf{r} d\lambda = \frac{1}{2} \int d\mathbf{r} h^U c^U$$

$$\Delta F = kT \rho_0 \int d\mathbf{r} \left( \frac{h^2}{2} - c \right) - kT \rho_0 \iint h^U(\lambda) \frac{\partial c^U(\lambda)}{\partial \lambda} d\mathbf{r} d\lambda$$

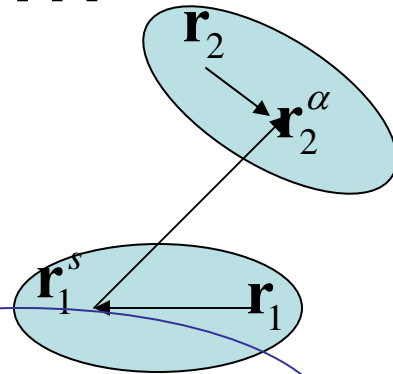
$$\Delta F = kT \rho_0 \int d\mathbf{r} \left( \frac{h^2(\mathbf{r})}{2} - c(\mathbf{r}) - \frac{h(\mathbf{r})c(\mathbf{r})}{2} \right)$$

# HNC expression in RISM

RISM assumption:

$$c(\mathbf{r}, \boldsymbol{\theta}) = \sum_{s\alpha} c_{s\alpha}(r_{s\alpha})$$

$$\Delta F = kT \rho_0 \int \frac{h^2(\mathbf{r}, \boldsymbol{\theta})}{2} d\mathbf{r} d\boldsymbol{\theta} - kT \rho_0 \sum_{s\alpha} \int c_{s\alpha} d\mathbf{r} d\boldsymbol{\theta} - \frac{1}{2} kT \rho_0 \sum_{s\alpha} \int c_{s\alpha} h(\mathbf{r}, \boldsymbol{\theta}) d\mathbf{r} d\boldsymbol{\theta}$$



$$\Delta F = kT \rho_0 \sum_{s\alpha} \int \left( \cancel{\frac{h_{s\alpha}^2}{2}} - c_{s\alpha} + \frac{h_{s\alpha} c_{s\alpha}}{2} \right) d\mathbf{r}$$